

BROKER TRANSFER

Funds Transfer to AxiTrader



Date Issued: / /

AxiCorp Financial Services Pty Ltd
Level 10 , 90 Arthur Street, North Sydney, NSW, 2060 Australia
AU: 1300 888 936 Int: +61 2 9965 5830 Fax: +61 2 9965 5899
ABN: 85 127 606 384
www.axitrader.com.au

This form is for transferring funds from a Forex trading broker account to AxiTrader.

Your trading accounts at AxiTrader must have the **same name** as your current broker.

1. If you are opening a new account, please open an account with AxiTrader before you transfer your funds
2. Do not use this form if you are transferring funds from your bank account at a commercial bank.
3. Do not use this form to transfer funds from one AxiTrader account to another.
4. Please refer to AxiTrader's website (<http://www.axitrader.com>) for a current list of countries for whose residents AxiTrader are unable to open an account.

Please use a black or blue pen to complete this Form, print within the boxes in CAPITAL letters and mark the appropriate boxes with an "X".

Transfer Options

Transferring Funds from to *AxiCorp Financial Services Pty Ltd*

Please tick only one of the following boxes:

I wish to transfer all my funds held by you in the below named account number to the receiving broker.

I wish to transfer only the following funds held by you in the below named account to the receiving broker:

Amount

Currency

Transferring Broker

Broker Name

Broker Address

Account Name

Currency

Account Number

Receiving Broker

Broker Name

AxiCorp Financial Services Pty Ltd

Broker Address

Level 10, 90 Arthur Street, North Sydney, NSW 2060, AUSTRALIA

Account Name

Currency

Account Number

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Your Details

Full Name

Phone Number

Email Address

Authorised Signature

Company authorisation instructions: 1. Company seal applied with two signatures and corporate titles, or 2. One director signature with the corporate title stated as “sole director”, or 3. One director signature plus signature of the Company Secretary with their appropriate corporate titles stated, or 4. Two director signatures with their appropriate corporate titles stated.

I, the signee, hereby grant authorisation for to transfer funds from the aforementioned Trading Account under my name to my trading account held with *AxiCorp Financial Services Pty Ltd*.

Print Name:

Signature:

Corporate Title:

Date:

DAY		MONTH		YEAR			

Print Name:

Signature:

Corporate Title:

Date:

DAY		MONTH		YEAR			

Please be sure to email this completed document to:
service@axitrader.com.au

Receiving Broker Banking Details:

Please find AxiCorp Client Trust account details on our website:
www.axitrader.com/clients/account-funding

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AXICORP FINANCIAL SERVICES PTY LTD DISCLAIMER

Investing in margin foreign exchange and other derivatives can be risky and these products are not suitable for all investors and traders. Any general advice in this electronic communication does not take into account you or your client's personal objectives, financial situation and needs. Please seek advice from a financial adviser or broker and read the relevant Product Disclosure Statement before making a decision in relation to any investment. Any views or opinions expressed in this email do not necessarily represent those of AxiCorp Financial Services Pty Ltd (AxiCorp). This email and the information it contains is confidential, legally privileged and exempt from disclosure under applicable law. Access to this communication by anyone other than the addressee is unauthorised and any other delivery, distribution, copying or disclosure is strictly prohibited and is not a waiver of privilege or confidentiality. If you receive this message in error, please notify the sender and delete it from your system. AxiCorp is regulated in Australia by the Australian Securities and Investments Commission, AFSL No: 318232

Facsimile/ Email indemnity

If you are advising us via Email or facsimile in respect of instructions you should be aware of the following conditions:

- We will only process your facsimile instructions if it has been received by us in full. We are not responsible for any loss or delay that results from a transmission not being received by us.
- A facsimile receipt confirmation from the sender's facsimile machine is not evidence of receipt of the facsimile by us.
- We do not take responsibility for any instructions fraudulently completed email or facsimile transactions and we will not compensate you for any losses. For example, you bear the risk that a fax or email may be sent by someone who knows your account details.
- In the event of fraud taking place, you agree to release, discharge and indemnify AxiCorp Financial Services Pty Ltd from and against all actions, proceedings, accounts, claims, costs, demands, charges and expenses, losses and liabilities (however they arise) to the extent permitted by law, suffered by you or suffered by or brought against us, in respect of the facsimile instructions.

Privacy

Keeping us informed

Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your AxiTrader account Number when you contact us.

Collecting and using your information

We collect information for the following purposes which may include but not only limited to:

- to process your application;
- to administer your account;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

Disclosure to Non-Affiliated Third Parties and Regulatory Bodies

In order to support the financial products and services we provide to you, we may share the personal information described above with third-party service providers and joint marketers not affiliated with us, including:

- Financial service institutions (advisors, dealers, brokers, trust companies and banks) with whom we have joint marketing agreements, such as agreements to market financial services or products that we jointly offer, endorse or sponsor; and
- Companies under contract to perform services for us or on our behalf, such as service providers that prepare and mail statements and transaction confirmations or provide data processing, computer software maintenance and development, transaction processing and marketing services.

These companies acting on our behalf are required to keep your personal information confidential.

We may also be required to provide your personal information to the Australian Securities & Investments Commission, Australian Taxation Office, AUSTRAC, law enforcement agencies and other regulatory and government bodies from time to time in Australia.

We may collect, use and disclose personal data about you, including personal data you may voluntarily disclose to us in any manner, so that we can (i) carry out our obligations with respect to the services that we provide; (ii) carry out our everyday business activities and dealings with you; (iii) compile statistical analysis of the pages of the Website visited; (iv) monitor and analyse our business; (v) participate in crime prevention, legal and regulatory compliance, including screening and comparing such data against sanctions and other databases used for crime prevention purposes; (vi) market and develop other products and services; (vii) transfer any of our rights or obligations in relation to the services that we offer; and (viii) process your personal data for other related purposes. If you do not provide us with your personal information, or if you provide us with inaccurate or incomplete information, we may not be able to provide you with our services, including giving you access to our Websites.

Accessing and Revisiting Your Personal Information

You have certain rights of access to some or all of the personal data we collect and hold about you at the time of request, or to have inaccurate information corrected, under applicable data protection laws. If you wish to exercise such rights, you should contact our Managing Director at info@AxiCorp.com.au, and may be requested to provide further information to assist us in complying with such request.